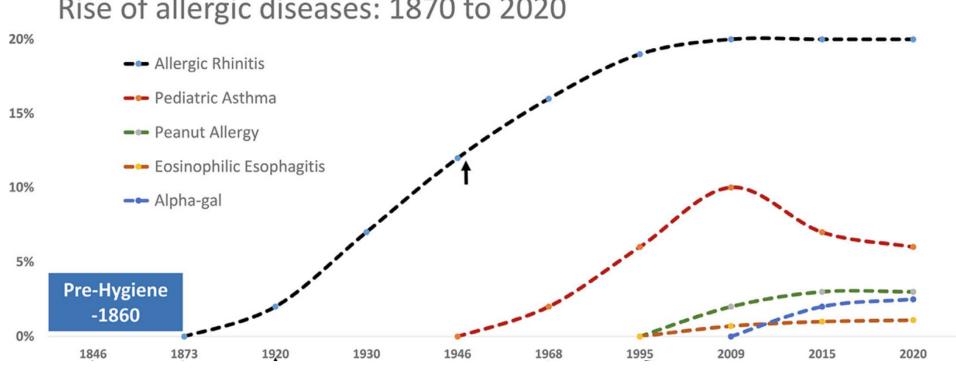
# Can asthma be prevented?

Kathy Lee-Sarwar

# Can asthma be prevented (by modifying the microbiome)?

Kathy Lee-Sarwar



#### Rise of allergic diseases: 1870 to 2020

Figure from:

Platts-Mills TAE, et al. Can we alter the course of allergic disease? Ann Allergy Asthma Immunol. 2022 Sep;129(3):271-273.

## Meta-Exposome Suspects in Asthma Pathobiology

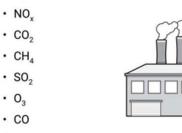
#### Microbial dysbiosis

- Increased harmful opportunistic pathogens
- Decrease in commensals

#### Climate change and biodiversity loss

- Heat waves
- Extreme weather
- Wildfires
- Biodiversity loss

#### Air pollution



#### **Environmental substances**

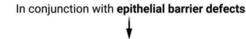
- Nanoparticles
- Particulate matter
- Tobacco smoke
- Diesel exhaust
- Detergents
- Airborne pollens
- Microplastics
- Plastics
- Volatile organic compounds
- Heavy metals

#### Diet

- Lower amounts of omega-3 fatty acids, legumes, short chain fatty acids
- Higher amounts of omega-6 fatty acids, processed food containing enzymes, emulsifiers, and preservatives



Figure from: Akdis CA, Akdis M, Boyd SD, Sampath V, Galli SJ, Nadeau KC. Allergy: Mechanistic insights into new methods of prevention and therapy. Sci Transl Med. 2023;15(679):eadd2563



#### Allergic responses

- Asthma
  Food allergy
- Allergic rhinitis
  Atopic dermititis





Hg

Cd

# Meta-Exposome Suspects in Asthma Pathobiology

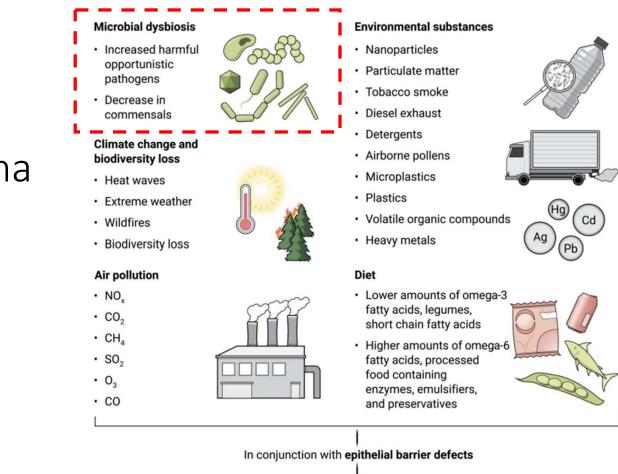


Figure from: Akdis CA, Akdis M, Boyd SD, Sampath V, Galli SJ, Nadeau KC. Allergy: Mechanistic insights into new methods of prevention and therapy. Sci Transl Med. 2023;15(679):eadd2563

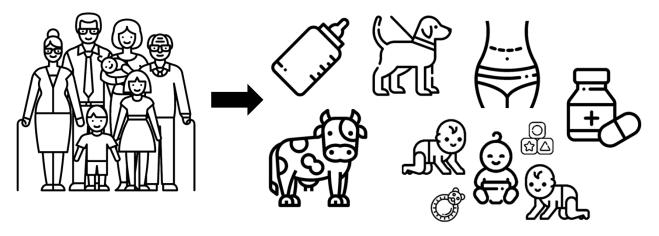
#### Allergic responses

- Asthma Food allergy
- Allergic rhinitis · Atopic dermititis





# Hygiene hypothesis: Reduced microbial exposure caused increase of allergic disease



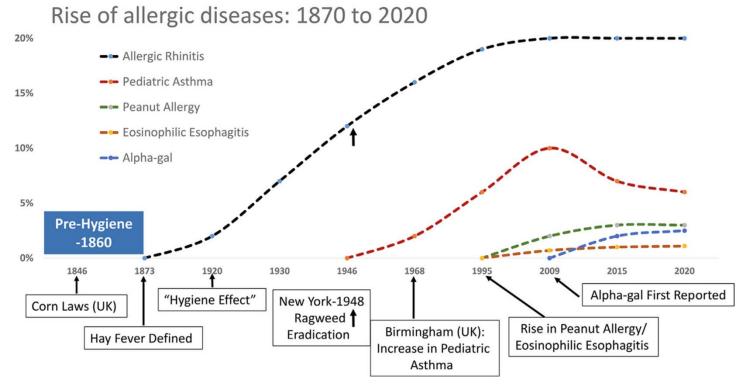
Several asthma and allergy risk factors impact microbial exposures.

|   | Hay fever, hygiene, and<br>household size<br>David P Strachan   |  |  |  |   | 12<br>the<br>Cro<br>stat  | report of "hay fever or allergic rhinitis in the past<br>12 months" at age 11; (c) parental recall of "eccema in<br>the first year of life" elicited when the child was 7.<br>Cross tabulations were performed with the SAS<br>statistical package, and multiple logistic regression<br>models were fitted with the LR program in the BMDP   |   |   |   |   |  |
|---|---|--|--|--|---|---|--|---|---|---|---|--|
| hepartment of<br>pidemiology and<br>opulation Sciences,<br>ondon School of<br>tygiene and Tropical<br>dedicine, London<br>(CEIE 7HT<br>havid P Strachan, MRCP,<br>chare in epidemiology | Hay fever has been described as a "post industrial<br>revolution epidemic," and successive morbidity<br>surveys from British general practice suggest that its<br>prevalence has continued to increase over the past 30<br>years. "Other evidence suggests a recur increase in the<br>prevalence of asthma' and childhood eczema." This<br>paper suggests a possible explanation for these trends<br>over time. |  |  |  |   |   | statistical package.<br>Of the 16 perinatal, social, and environmental<br>factors studied the most striking associations with hay<br>fever were those for family size and position in the<br>bouchedd in childhood. The uble shows that at both<br>11 and 23 years of age hey fever was inversely related to<br>the number of children in the household at age 11<br>(when it is assumed most families were complete).<br>When prevalence figures were adjusted by multiple<br>logistic regression for other significant determinants of |   |   |   |   |  |
| sea f ven 244.2214 as   | Subjects, meth<br>I studied the<br>sample of 17.41<br>in March 1958<br>(the National<br>outcomes were<br>fever during the<br>omain infancy by per-  | al per<br>sk ind<br>rs (p-   | hay (veri in this cohert (see table) the associations with number of older and younger children in the bouchodd periotat. These trends in adjusted prevalence were independent of one another and case how significant (p=6701), see table), but the trends by number of older children were significantly integret ( $\gamma = 16$ , $d = 1$ , $\phi = 0$ ) at age 11; $\gamma = 19$ 5, $d = 1$ , $\phi = 0$ at age 12; $\gamma = 9$ 5, $d = 1$ , $\phi = 0$ at age 23). A further analysis of hay fewer occurring at 23 by birth |  |   |   |  |   |   |   |   |  |
|   |   |  |  |  | ner in previous year  |   |  |   | Prevalen  | e of oceans in fi   | na year of Mc   |  |
|   | Crub*   | Acapr 23<br>Gradet   |  |  | Crake.  | Arapril<br>Oradet   |  | _   | Could   | Codet   |   | _  |
| of older children (under 21) in bounchole   |   | Cruke  | Algebolt   | 52   | Cruik*  |   | Adjuncit   | х5  |   | Cruk!   | Adjoint   | 82   |
|   | 20.4  | 20.5   | 20.4   |  | 96<br>(54251422)  | 100   | 10.0   |   | 5.0   | 32039525  | 61  |  |
|   | 15-7<br>(543-3 793)   | 3453323  | 15-0   |  | 8-4<br>(746-4.721)<br>5-4   | (1993) 1995<br>(2757) 2965<br>458<br>(521) 2965<br>373<br>(1255) 110<br>119 | 2.9  | 15.4  | (225-4301)  | 5 8   | 52  |  |
| 2   | (1721.478)  | 1211<br>(1371,301)<br>9-2  | 12.5   | 80.0   | (100/1953)  | (82) 290)   | 5.0  | 27.4  | (68) 797)   | (57) 290)   | 37  | 12.5   |
| ,   | (360.3.703)<br>11-6<br>(1721.678)<br>9-6<br>(56.606)<br>8-3   | 100 120  | 10.6   |  | (200777)<br>28  | 15910   | +9<br>24   |   | (688) 757)<br>316<br>(25/6902)<br>211   | (17591396)<br>##<br>(5711296)<br>53<br>(125917)<br>252  | 37  |  |
|   | (21(3)2)  | (18/270)   | 14   |  | (12.40%)  | (526)   | 24   |   | (8.00)  | (6273)  | 28  |  |
| of younger children in household at age:  |   | .171   | 17-9   |  |   | **  |  |   | 5-1   | 9.2   | 5.5   |  |
|   | (645.9746)<br>17.7  | 17.7   | 16.7   |  | 0424776   | 2061327   |  |   | 220 K 196.<br>57<br>(226 K 000)   | (1743.12%)<br>5-9<br>(1863.145)<br>5-4  | 5.7   |  |
| ,   | (363.5.5.44)<br>17.7<br>(456.5.5.14)<br>16-0<br>(363.5.1.898)<br>13.9   | (558/3151)<br>16:5   | 15-7   | 114  |   | 215/3 128   | 2.0  | 10.7  | (228 ± 0.00)<br>5-3<br>(118-2 222)<br>± 0   | 3-4   | 5.8   | 0.01   |
| ,   | 13-9<br>13-9  | (2751478)<br>19-0  | 13-4   |  | 1179/2 4360   | 3251.687)<br>4-1<br>(83.997)  | 6.5  |   | (118/2 222)<br>8-0<br>180/997   | (901486)<br>6.3<br>-18/715-   | **  |  |
| **  | 10-0<br>,15-550   | (598/3151)<br>16-3<br>(275/1478)<br>15-6<br>(99/218)<br>19-5<br>147 479  | 12.8   |  | #10144.<br>#3<br>.32745.  | 4-3<br>(27.847)   | 5.4  |   | 82  | 201010  | 5.3   |  |
| ul.   | 16-5<br>13 744 30 57%   | 16.5   |  |  | 1001101<br>8-0  | 107100.0<br>101   |  |   | 52<br>43432.242   | 54  |   |  |
| or unitaries with complete covariant data a<br>downed by multiple liquics, expression<br>or far linear mend (df ~1) from the multi<br>chades children of the family loong areas         | order and n<br>(not shown<br>children wa<br>Eczema in<br>related to th<br>(see table).  | number of<br>a) suggest<br>s a more in<br>n the first y<br>se number of<br>There was<br>and young                                      | older ch<br>ed that<br>ifluential<br>ear of life<br>of older cl<br>s no asso   | idren<br>the n<br>variab<br>was al<br>hildren<br>hildren   | in the house  | hold t<br>ider o<br>ntly t<br>hold p<br>ema<br>who i                        | transmitte<br>or acquire<br>contact wi<br>reinfection<br>protection<br>Over ti<br>improvem<br>standards  | d by u<br>d pre<br>th he<br>by yo<br>agains<br>te pa<br>ents i<br>of pe<br>y for c        | nhygienic o<br>natally fro<br>r older chi<br>unger siblin<br>t hay fever.<br>st century<br>n househol<br>rsonal cleas<br>cross infecti<br>ced in mor  | ontact with<br>n a moth<br>dren. Lat<br>gs might co<br>declining<br>d amenitic<br>liness hav<br>on in youn<br>c widespre        | older sit<br>er infect<br>er infect<br>enfer add<br>g family<br>es, and l<br>ve reduce<br>g families<br>ad clinic | on or<br>itional<br>size,<br>higher<br>d the<br>. This<br>al ex- |
|   | Comment<br>Variation<br>ably exist<br>unlikely th<br>strong relat<br>household,<br>the father.<br>occurring i<br>influenced<br>been affect<br>children in<br>fever and  | among so<br>at differen<br>tion betwo<br>which was<br>Although<br>n infants s<br>by total fai<br>ted specifi<br>the houss<br>eczema wi | cioecono<br>tial repo<br>en hay fe<br>indepens<br>the recal<br>seven yea<br>mily size<br>cally by<br>ehold. Si<br>ith incre  | mic c<br>rting o<br>ver an<br>dent of<br>l by p<br>rs pre<br>, it is l<br>the t<br>milar<br>wing | symptoms p<br>lasses, but<br>could explain<br>ad position if<br>(the social cla<br>arrents of ecz<br>viously migh<br>less likely to<br>number of c<br>gradients in<br>family size<br>ish children | rob-<br>it is<br>the<br>the<br>ema<br>t be<br>have<br>have                  | pression of<br>people, as<br>I thank :<br>Council Di<br>Study User<br>help in acc<br>database.<br>I Emanuel MB<br>growth dat<br>P Forming DM   | atopic<br>seems<br>he stal<br>na An<br>Suppor<br>essing<br>. He fering de P<br>. Countrie | : disease, en<br>to have occ<br>fif of the Eo<br>hive, the N<br>rt Group, and<br>the National<br>er, a post indexe<br>his sensory. <i>Clin</i> .<br>(2), Prevaluate<br>(2)20(27) 83.<br>https://www.fi/M<br>lood examus in<br>file. | arred for h<br>mornic and<br>ational Chi<br>Professor F<br>I Child Dev<br>id revolution of<br>these 1983.88<br>of others and to | ay fever."<br>Social Ro<br>Id Develo<br>& R Ander<br>relopment<br>relopment<br>295-304.<br>19 four in En          | penent<br>son foe<br>Study<br>my of in<br>faod and               |

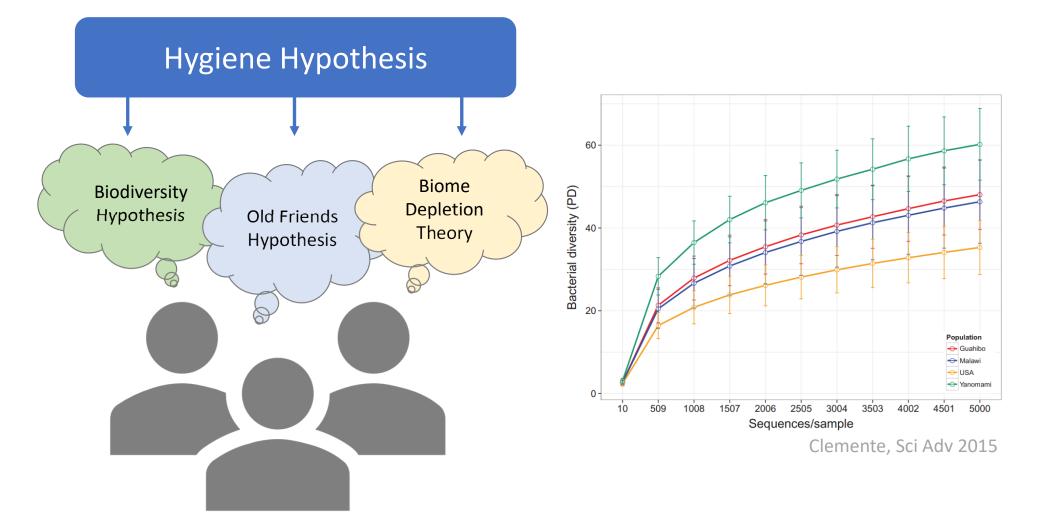
von Mutius E, J Allergy Clin Immunol 2016. Lynch S, Curr Opin Allergy Cl 2016.

Strachan DP. "Hay fever, hygiene, and household size." BMJ 1989

## Problems with the Hygiene Hypothesis

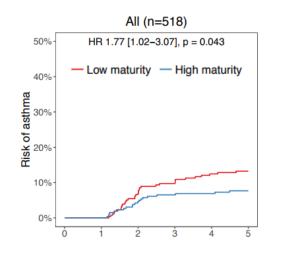


Platts-Mills TAE, et al. Can we alter the course of allergic disease? Ann Allergy Asthma Immunol. 2022 Sep;129(3):271-273.



Pfefferle, et al. The Hygiene Hypothesis – Learning From but Not Living in the Past. Front Immunol 2021.

# Rationally targeting the microbiome: Epidemiologic Evidence

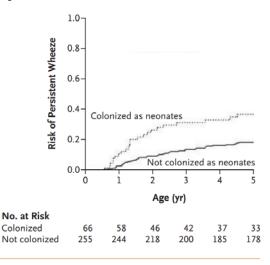


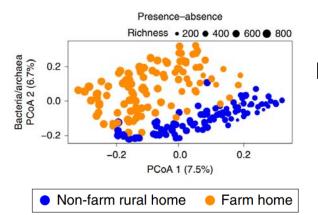
Perturbed *maturation* of the gut microbiome in infancy is associated with asthma at age 6 years.

Stokholm J, et al. Nat Comm 2018.

Colonization of the hypopharynx at age 1 month with *S. pneumoniae, M. catarrhalis* or *H. influenzae* associated with asthma at age 5 years.







#### Residence in a *farm or farmlike home* is associated with reduced childhood asthma.

Kirjavainen PV, et al. Nat Med 2019.

# Rationally targeting the microbiome: Experimental Evidence

19/26 DECEMBER 2013 | VOL 504 | NATURE | 451

#### Metabolites produced by commensal bacteria promote peripheral regulatory T-cell generation

Nicholas Arpaia<sup>1,2</sup>, Clarissa Campbell<sup>1,2</sup>, Xiying Fan<sup>1,2</sup>, Stanislav Dikiy<sup>1,2</sup>, Joris van der Veeken<sup>1,2</sup>, Paul deRoos<sup>1,2</sup>, Hui Liu<sup>3</sup>, Justin R. Cross<sup>3</sup>, Klaus Pfeffer<sup>4</sup>, Paul J. Coffer<sup>1,2,5</sup> & Alexander Y. Rudensky<sup>1,2</sup>

#### SCIENCE VOL 341 2 AUGUST 2013 The Microbial Metabolites, Short-Chain Fatty Acids, Regulate Colonic T<sub>reg</sub> Cell Homeostasis

Patrick M. Smith,<sup>1</sup> Michael R. Howitt,<sup>1</sup> Nicolai Panikov,<sup>1</sup> Monia Michaud,<sup>1</sup> Carey Ann Gallini,<sup>1</sup> Mohammad Bohlooly-Y,<sup>5</sup> Jonathan N. Glickman,<sup>6,7</sup> Wendy S. Garrett<sup>1,2,3,4</sup>\*

00 MONTH 2013 | VOL 000 | NATURE | 1

#### Commensal microbe-derived butyrate induces the differentiation of colonic regulatory T cells

Yukihiro Furusawa<sup>1,2</sup>\*, Yuuki Obata<sup>1,2,3</sup>\*, Shinji Fukuda<sup>1,4</sup>\*, Takaho A. Endo<sup>1</sup>, Gaku Nakato<sup>1</sup>, Daisuke Takahashi<sup>1</sup>, Yumiko Nakanishi<sup>4</sup>, Chikako Uetake<sup>1</sup>, Keiko Kato<sup>1,5</sup>, Tamotsu Kato<sup>1</sup>, Masumi Takahashi<sup>1</sup>, Noriko N. Fukuda<sup>4</sup>, Shinnosuke Murakami<sup>4</sup>, Eiji Miyauchi<sup>1</sup>, Shingo Hino<sup>6</sup>, Koji Atarashi<sup>1,7</sup>, Satoshi Onawa<sup>1</sup>, Yumiko Fujimura<sup>2</sup>, Trevor Lockett<sup>8</sup>, Julie M. Clarke<sup>6</sup>, David L. Topping<sup>6</sup>, Masaru Tomita<sup>4</sup>, Shohei Hori<sup>1</sup>, Osamu Ohara<sup>1</sup>, Tatsuya Morita<sup>6</sup>, Haruhiko Koseki<sup>1,3,5</sup>, Jun Kikuchi<sup>5,9</sup>, Kenya Honda<sup>1,10</sup>, Koji Hase<sup>1,2,7</sup>\* & Hiroshi Ohno<sup>1,3,5</sup>

#### **Cell Host & Microbe**

A bacterial bile acid metabolite modulates T<sub>reg</sub> activity through the nuclear hormone receptor NR4A1 Li et al., 2021, Cell Host & Microbe 29, 1366–1377

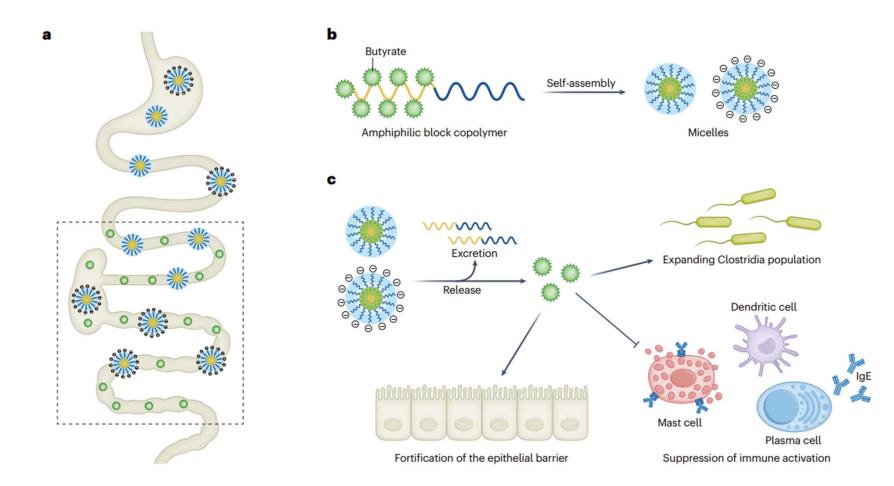
#### Bile acid metabolites control T<sub>H</sub>17 and T<sub>reg</sub> cell differentiation Nature | Vol 576 | 5 December 2019

Saiyu Hang<sup>122</sup>, Donggi Paik<sup>122</sup>, Lina Yao<sup>2</sup>, Eunha Kim<sup>1</sup>, Jamma Trinath<sup>3</sup>, Jingping Lu<sup>4</sup>, Soyoung Ha<sup>1</sup>, Brandon N. Nelson<sup>5</sup>, Samantha P. Kelly<sup>5</sup>, Lin Wu<sup>6</sup>, Ye Zheng<sup>2</sup>, Randy S. Longman<sup>6</sup>, Fraydoon Rastinejad<sup>4</sup>, A. Sloan Devln<sup>2</sup>, Michael R. Krout<sup>5</sup>, Michael A. Fischbach<sup>3</sup>, Dan R. Littman<sup>610e</sup> & Jun R. Huh<sup>11se</sup>

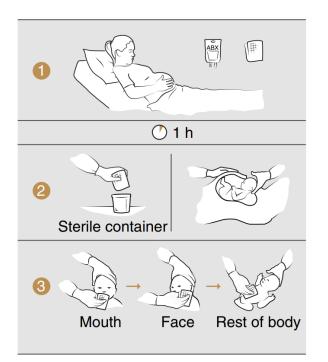
# Bacterial metabolism of bile acids promotes generation of peripheral regulatory T cells

Clarissa Campbell<sup>100</sup>, Peter T. McKenney<sup>1230</sup>, Daniel Konstantinovsky<sup>3</sup>, Olga I. Isaeva<sup>4,5</sup>, Michail Schizas<sup>1</sup>, Jacob Verter<sup>1</sup>, Cheryl Mai<sup>6</sup>, Wen-Bing Jin<sup>7</sup>, Chun-Jun Guo<sup>7</sup>, Sara Violante<sup>8</sup>, Ruben J. Ramos<sup>8</sup>, Justin R. Cross<sup>8</sup>, Krishna Kadaveru<sup>2</sup>, John Hambor<sup>2</sup> & Alexander Y. Rudensky<sup>15,29</sup>

# Local Butyrate Delivery



Wang, et al. Nature Biomed Eng 2022. Fig: Wang, Liu. Nat Biomed Eng 2023.



# Vaginal Seeding after Cesarean Section

- 2016: Initial report of vaginal seeding to restore the newborn microbiome.
- Several clinical trials with asthma and allergy primary outcomes are ongoing.
- The practice remains controversial and is not recommended by ACOG.

"In one sense, the science isn't settled yet. In another sense, compared to other choices you might be making this is a very natural choice. Had you not delivered your baby by C-section there's no way you could escape coating your baby in these bacteria." – Rob Knight, UCSD

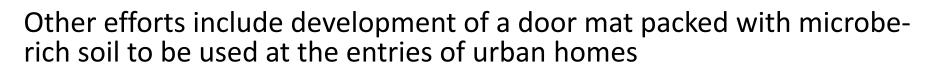
In the worst-case scenario, "you've taken a kid with low risk of infection and you've rubbed herpes all over their face." – Adam Ratner, NYU

Dominguez-Bello MG, et al. Nat Med 2016.

# Engineering the Environmental Microbiome

Finnish study: Modifying outdoor play areas at urban daycares with forest floor vegetation and sod leads to changes in children's microbiomes.

ADELE research group, Environ Int 2021.



Martin Täubel, Finnish institute for health and welfare

Soil OTU

Intervention day 0 Intervention year one Intervention year two Intervention materials Standard day 0 Standard year one Can asthma be prevented (by modifying the microbiome)?

# Can asthma be prevented (by modifying the microbiome)?

# Maybe!

# Can Asthma Be Prevented? Modification of allergic pathways in early childhood

Wanda Phipatanakul, MD, MS Partners Asthma Grand Roundds Professor of Pediatrics Harvard Medical School Director, Asthma Clinical Research Center Boston Children's Hospital

wanda.phipatanakul@childrens.harvard.edu



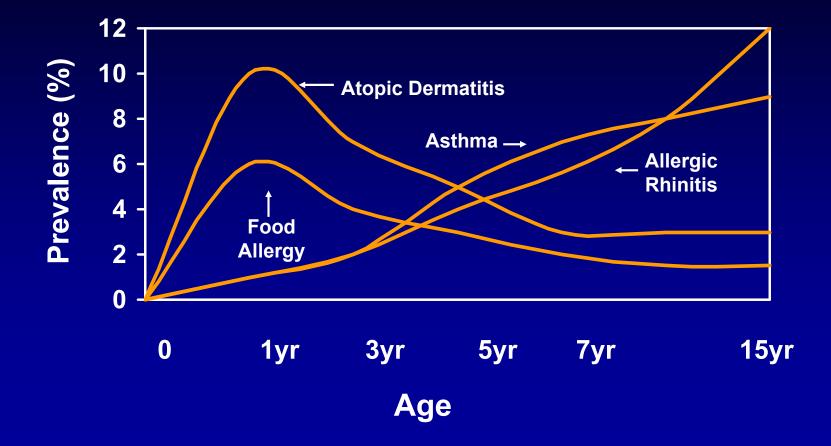


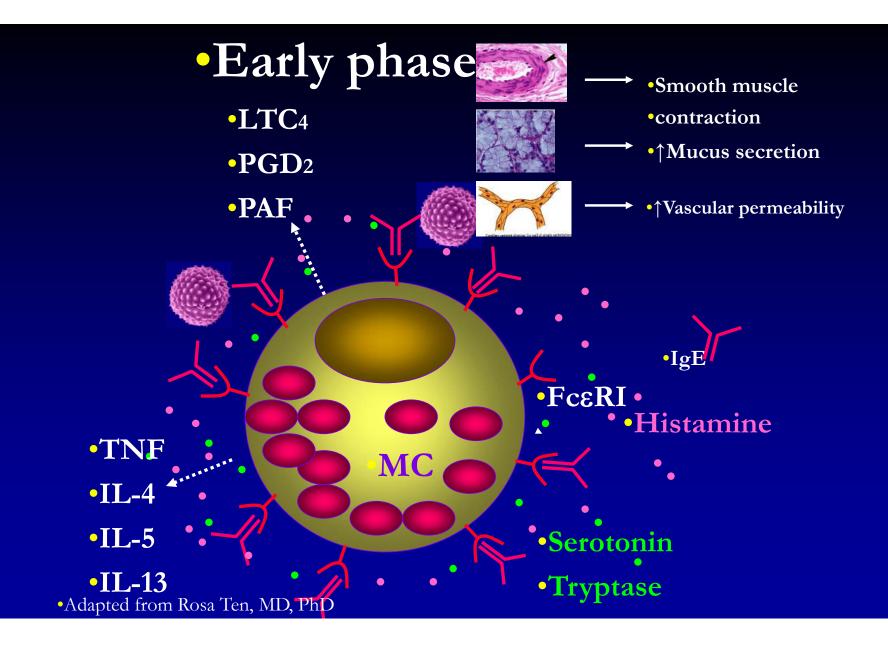
HARVARD MEDICAL SCHOOL

#### **Disclosures/Objectives**

- Funding NIH, Genetech/Novartis, Regeneron
- Trial Support: Alk Abello, Monaghen, Lincoln Diagnostics, GSK
- Consultant Genentech, Novartis, Teva, Regeneron, GSK
- Goal: To Discuss Factors in the Progression of Asthma and Strategies for Prevention and Logical Next Steps

### **Relative Prevalence of Allergic Diseases**

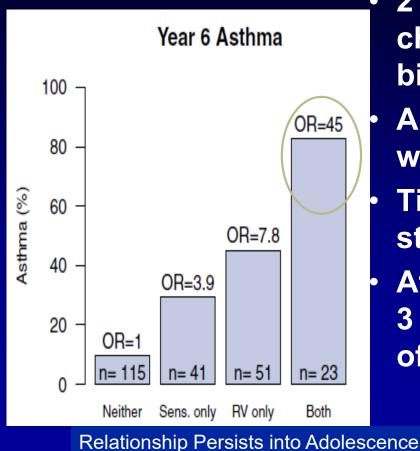




#### Allergen Sensitization (IgE) Begins in Early Childhood and then Takes Off "Atopic March"



### **Childhood Origins of Asthma Cohort**



- 217 children high risk children followed from birth
- Allergy prior to viral wheeze pivotal
- Timing and magnitude strong risk factor
- Atopy and Wheeze at age 3 markedly increases risk of lasting asthma

## Triple Threat: Important in the Development of Asthma

#### ATOPY



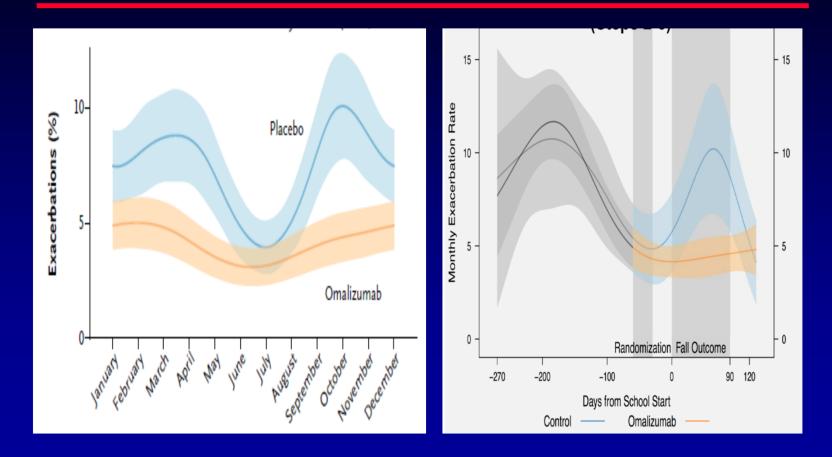
IS there anything available/feasible to use in young children that acts on the "triple threat" and could possibly PREVENT ASTHMA?



#### **EXPOSURE**

#### **Anti-IgE works in asthma**

# AND it works on virally induced wheezing....

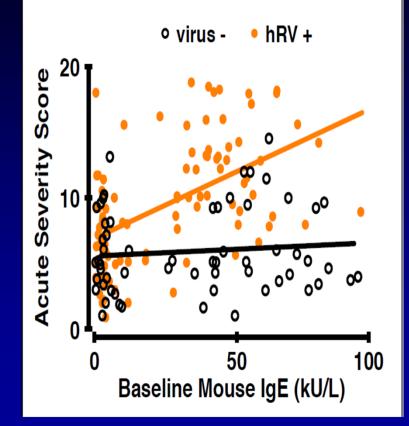


Busse WW, et al NEJM 2011,

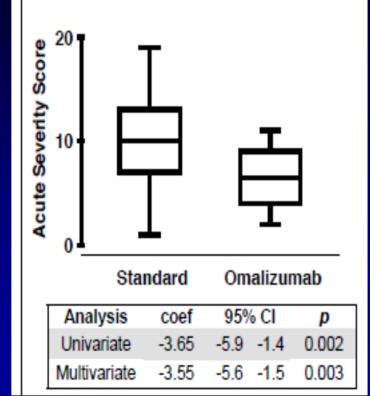
Teach S, et al JACI 2015

#### **IgE and Rhinovirus Interact** and Increases Asthma Severity

Kantor DB, Phipatanakul, Hirschhorn JACI 2016



# anti-IgE Reduces this Severity in School Age Children Kantor DB, Phipatanakul W, Hirschhorn J, Am J Resp CCM 2016



#### Understanding the asthmatic response to an experimental rhinovirus infection: Exploring the effects of blocking IgE

Check for updates

Placebo

Peter W. Heymann, MD, MS, MPH,<sup>a,b</sup> Thomas A. E. Platts-Mills, MD, PhD, FRS,<sup>a</sup> Judith A. Woodfolk, MBChB, PhD,<sup>a</sup> Larry Borish, MD,<sup>a</sup> Deborah D. Murphy, RN,<sup>a,b</sup> Holliday T. Carper, BS,<sup>a,b</sup> Mark R. Conaway, PhD,<sup>c</sup> John W. Steinke, PhD,<sup>a</sup> Lyndsey Muehling, PhD,<sup>a</sup> W. Gerald Teague, MD,<sup>b</sup> Joshua L. Kennedy, MD,<sup>d</sup> Anne-Marie Irani, MD,<sup>e</sup> Matthew D. McGraw, MD,<sup>f</sup> Stephen V. Early, MD,<sup>g</sup> Lisa M. Wheatley, MD, MPH,<sup>h</sup> Amy P. Adams, PharmD,<sup>i</sup> and Ronald B. Turner, MD<sup>j</sup> Charlottsville and Richmond Va, Little Rock, Ark, Rochester, NY, and Bethesda, Md

# Virus Inoculation RV-16

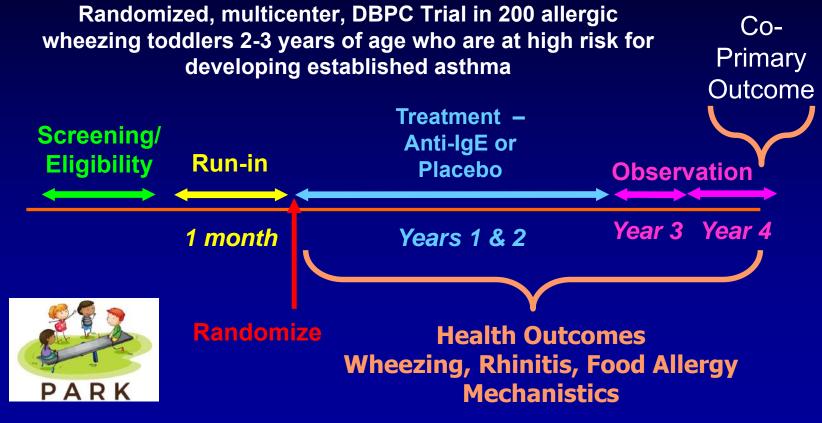
Anti-IgE 0 1 2 3 4 <u>In asthmatics receiving anti-IgE</u> <u>vs placebo:</u> ↓ LRTS over Days 1-4

↑ LF significant by Days 2, 3, & 4

Heymann P, et al JACI 2020 ;146: 545-54

## <u>Preventing Asthma in High Risk Kids- PARK</u> https://parkstudy.org

U01AI126614- Principal Investigator Phipatanakul- NCT02570984



https://answers.childrenshospital.org/asthma-prevention-xolair/

#### Can asthma be nipped in the bud?

Posted on August 2, 2016 by Nancy Fliesler Posted in Pediatrics, Therapeutics

More On: asthma, clinical trials, Division of Allergy and Immunology, Wanda Phipatanakul



A multicenter randomized trial is testing omalizumab (Xolair) in wheezy toddlers. (FDA/Wikimedia Commons)



The randomized, double-blind **Preventing Asthma in High Risk Kids** (**PARK**) trial is supported by a seven-year, \$20 million Asthma Prevention Grant from the NIH's National Institute of Allergy and Infectious Diseases (NIAID). By its end, it will enroll 250 wheezy 2- and 3-year-olds with a positive allergy test. The children will receive either Xolair or placebo and will be followed for four years — two years on the treatment, then two years off — to see what proportion of each group develops active asthma as defined by NIAID.

#### https://answers.childrenshospital.org/asthma-prevention-xolair/

#### Preventing Asthma in High Risk Kids (PARK)

#### PI: Phipatanakul U01AI126614 clinical trials.gov NCT02570984 parkstudy.org

- Elliot Israel, MD
- DCC-Penn State University- Dave Mauger, PhD
- Hans Oettgen, MD, PhD, Mechanistic Lead

#### **Clinical Centers**

- Boston- Wanda Phipatanakul, MD, MS
- Atlanta Anne Fitzpatrick, PhD, APRN
- Chicago- Elizabeth Lippner, MD
- Cincinnati- Theresa Guilbert, MD, MS
- Denver- Andy Liu, MD
- Hartford- Craig Lapin, MD
- Houston- Carla Walker, MD
- Indianapolis- Kirsten Kloepfer, MD
- Madison Daniel Jackson, MD
- San Diego-Sydney Leibel, MD
- St. Louis Jeffrey Stokes, MD
- Phoenix– Cindy Bauer, MD
- Washington, DC- Stephen Teach, MD

NIAID, Genentech/Novartis, Alk Abello, GSK, Lincoln Diagnostics Kaleo, Monaghan, Thermo Fisher asthma@childrens.harvard.edu 857-218-5336 wanda.phipatanakul@childrens.harvard.edu







# Does Vitamin D Given to Pregnant Women Prevent Asthma in their offspring: Revisiting the Evidence

### Scott T. Weiss, M.D., M.S.

Professor of Medicine, Harvard Medical School Associate Director, Channing Division of Network Medicine Brigham and Women's Hospital Boston MA



BRIGHAM AND WOMEN'S HOSPITAL

HARVARD MEDICAL SCHOOL



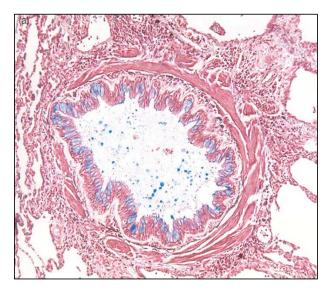
National Heart, Lung, and Blood Institute

### Disclosures

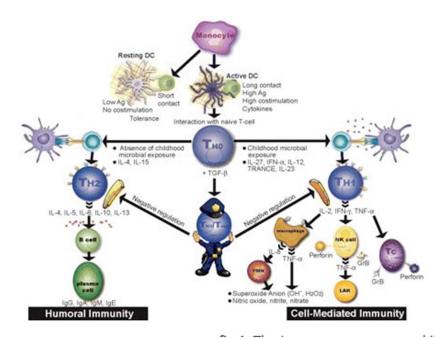
- Author for UpToDate
- On the board of Histolix a digital pathology company

# Asthma: Pathophysiology

- Airway Inflammation
- Airway Remodeling
- Airway Hyperresponsiveness
- Reversible Airflow Obstruction
- Loss of Elastic Recoil
- Dysanaptic lung growth

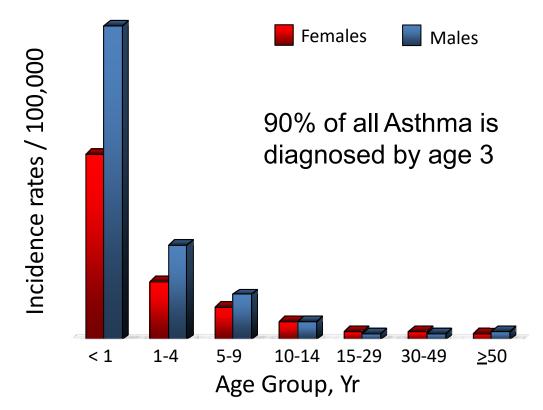


- Prevalence: 5-10%
- Prevalence increased markedly: 5% per year from 1979-2000 then leveled off
- Cost : In 2018, \$80 billion per year



## Annual Incidence Rates per 100,000 Person

Years by Sex & Age for Asthma Cases Among Rochester Minnesota Residents (1964-1983)

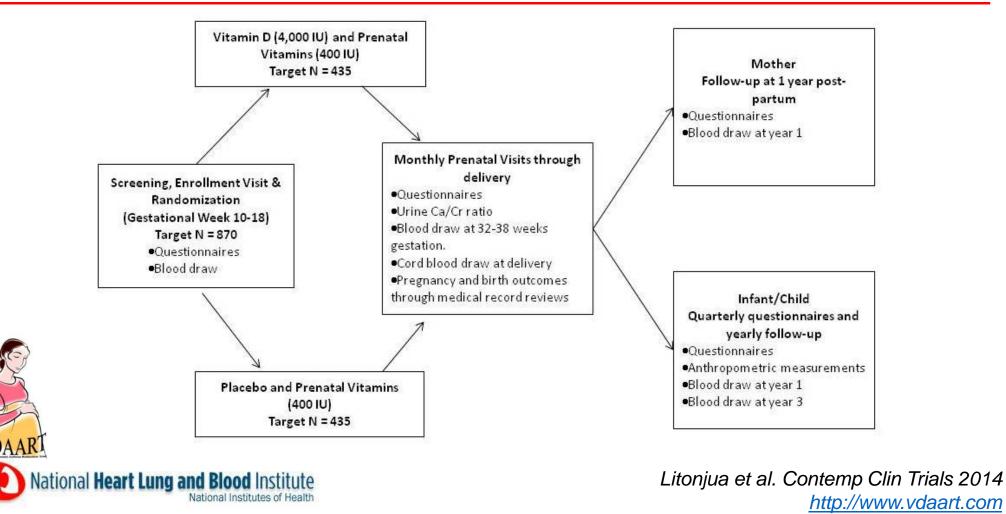


Yuninger JW. Am Rev Respir Dis 1992;146:888-894

#### Summary of Effect of Vitamin D on Asthma Pre VDAART

- VDR locus linked to asthma (Benj Raby Am J Respir Crit Care Med. 2004 Nov 15;170(10):1057-65.)
- Vitamin D intake (not levels) in mother linked to asthma wheeze outcomes in children (50% reduction) (Gus Litonjua Am J Clin Nutr. 2007 Mar;85(3):853-9, Carlos Camargo Am J Clin Nutr. 2007 Mar;85(3):788-95)
- Endocrine effects e.g. bone health linked to serum levels
- Immune effects linked to serum and tissue levels (ST Weiss unpublished)
- Vitamin D mediates all aspects of pregnancy and post natal immune function everything from implantation to gut microbiome, and innate and adaptive immune development in the first years of life

# **VDAART Study Design**



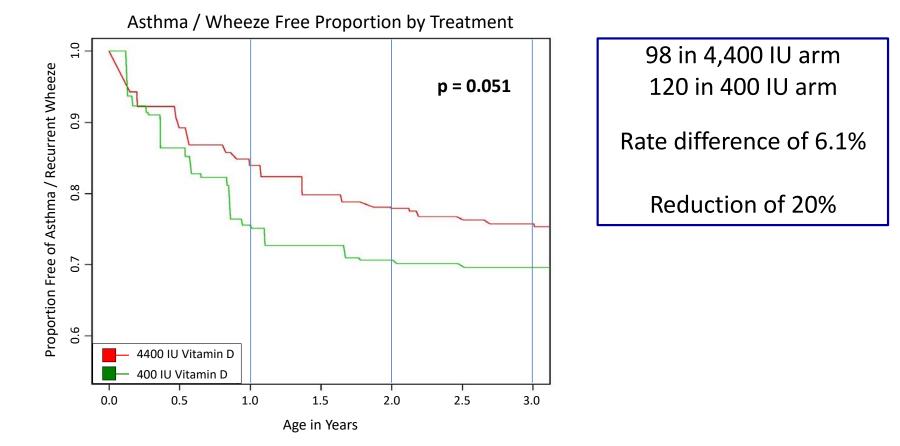
# Proportion of mothers who achieved a 25OHD level of at least (20 and 30 ng/ml, respectively) at 3<sup>rd</sup> trimester

| Target level | 400 IU dose        | 4,400 IU dose      | P-value                 |
|--------------|--------------------|--------------------|-------------------------|
| 20 ng/ml     | 280/391<br>(71.6%) | 342/386<br>(88.6%) | < 5 x 10 <sup>-9</sup>  |
| 30 ng/ml     | 135/391<br>(34.5%) | 290/386<br>(75.1%) | < 2 x 10 <sup>-16</sup> |

#### Conclusion: even at 4400 IU, 25% of mothers deficient

JAMA: 2016 Jan 26; 315(4):362-70

# Effect of maternal vitamin D treatment on development of asthma/recurrent wheeze by age 3 years.

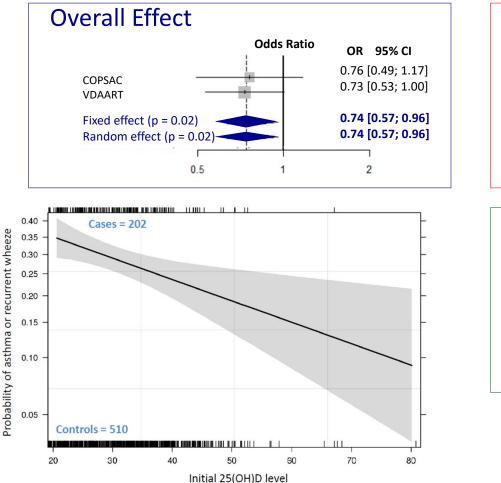


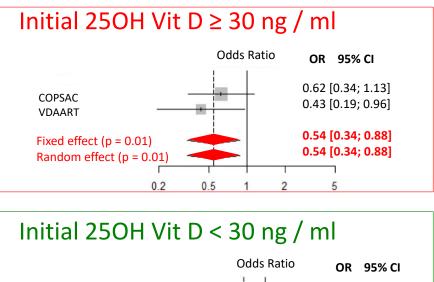
JAMA: 2016 Jan 26;315(4):362-70

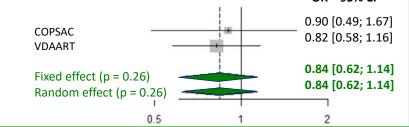
# Reasons why the year 3 intent to treat analyses were not statistically significant

- We didn't give enough vitamin D
  - Only 75% of trial participants achieved a level of 30ng/ml
- We didn't give vitamin D early enough in Pregnancy
  - Vitamin D is important for successful conception, implantation and maintenance of a normal pregnancy in humans, mice, rats, monkeys and dogs (Weiss 2011 in Litonjua ed Vitamin D and Lung Disease)
  - Immediately upon conception 1,25 OHD levels in the pregnant mother increase 10-fold over baseline (Hollis B Bone Research 2017:5: 1703)
  - Vitamin D influences branching morphogenesis (Kho, Tantisira BMC Medical Genomics 2013) 7-17 weeks gestation
- Nutrient trials are fundamentally different from drug trials. Vitamin D was present in subjects in both the treatment and the placebo arms of the trial and thus misclassification occurred reducing power to detect a difference in the two groups. (Robert P Heaney: Nutr Rev 2014;72:48-54)

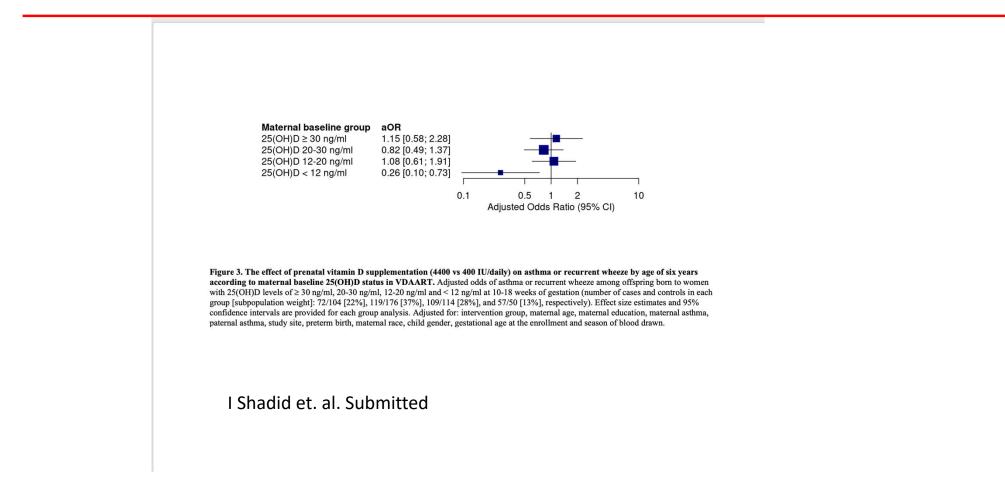
# Meta-analysis of prenatal vitamin D trials







Wolsk H et al PLOS ONE 2016:12: e0186657, Wolsk H et al J Allergy Clin Immunol. 2017 Nov;140(5):1423-1429 The risk of asthma in the offspring at age 6 years was significantly reduced in those mothers in the treatment group with the lowest levels of vitamin D at entry to the trial



#### The earlier in pregnancy that vitamin D was started the greater the reduction in asthma risk at age 6

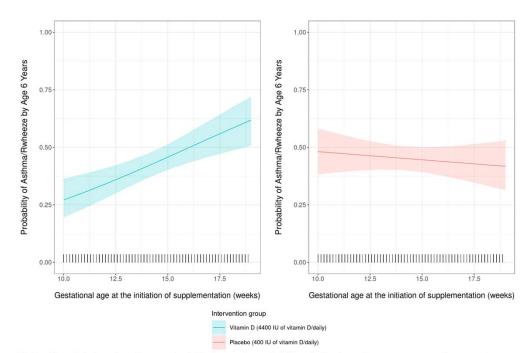


Figure 5. The effect of timing of supplementation initiation on the probability of offspring asthma or recurrent wheeze per intervention group. The association between offspring's gestational age at the initiation of supplementation at trial enrollment and asthma or recurrent wheeze by age 6 years is demonstrated for the treatment group (left) and placebo group (right). Population means and 95% confidence intervals are shown as respectively colored lines and shading. Inward ticks on the x-axis mark the data range and distribution. Adjusted for: maternal baseline 25(OH)D, maternal age, maternal education, maternal asthma, paternal asthma, study site, preterm birth, maternal race, child gender and season of blood drawn.

#### I Shadid et al submitted

#### Vitamin D improves Lung Function in the VDAART Trial

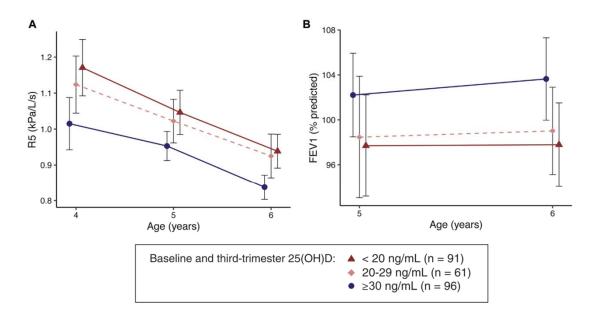


FIG 3. Mean values for child lung function at ages 4, 5, and 6 years among the subset of children whose mothers had either deficient (<20 ng/mL, n = 91), insufficient (20-29 ng/mL, n = 61), or sufficient ( $\geq 30$  ng/mL, n = 96) 25(OH)D level at both baseline (10-18 gestational weeks) and third trimester (32-38 gestational weeks). **A**, **R**5. **B**, **EV**<sub>1</sub>, <sup>22</sup> Data points illustrate the mean values of the lung function indices for each group, and the whiskers illustrate the 95% CI.

Knihtila HA et al J Allergy Clin Immunol 2021: 148:110-9

#### Summary of Effect of Vitamin D on Pregnancy Post VDAART

- Pregnancy is a continum, vitamin D necessary at every stage of pregnancy and beyond
- vitamin D sufficiency early in pregnancy had a greater effect on asthma outcomes than later in pregnancy
- Nutrient trials are fundamentally different from drug trials because there is contamination of the control group
- Intent to treat analysis suggests congruence between observational and clinical trial results if initial level of vitamin D is accounted for
- Effects of vitamin D are significant at 3 and 6 years for asthma and for lung function at age 6

#### Recommendations for Vitamin D Levels and intake During Pregnancy

- 70% of pregnant women have vitamin D levels <30ng/ml</li>
- USDA recommends 600 IU of vitamin D during pregnancy
- IOM recommends a serum level of 20ng/ml during pregnancy
- Endocrine Society recommends a serum level of 30 ng/ml and a dose of up to 4000 IU during pregnancy
- Cochran Collaboration recommends further studies. Their meta-analyses do not account for baseline level
- Most academic OB programs (including BWH) do not recommend vitamin D supplementation during pregnancy

# Acknowledgments

- Channing Division of Network Medicine (DCC)
  - Vincent Carey
  - Nancy Laranjo
  - Sharon O'Toole
  - Hooman Mirzakhani
  - Benji Raby
  - Jessica Su
  - Hannah M Knihtila
- BWH Maternal Fetal Medicine
  - Tom McElrath
- U of Rochester
  - Augusto A. Litonjua
- University of South Carolina
  - Bruce Hollis PHD
- NHLBI
  - Gail Weinmann
  - Virginia Taggart
  - Patricia Noel
  - James Kiley

- BUMC
  - George O'Connor
  - Megan Sandel
  - Aviva Lee-Paritz
  - Ronald Iverson
- WUSTL
  - Robert Strunk
  - Leonard Bacharier
  - George Macones
- Kaiser Permanente Southern California
  - Robert Zeiger
  - Michael Schatz
- COPSAC study, Copenhagen, Denmark
  - Helene Wolsk
  - Bo Chawes
  - Hans Bisgaard